

# Quark and gluon fragmentation functions into photons

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**Abstract.** The fragmentation functions of quarks and gluons into photons are studied beyond the Leading Logarithm approximation. We address the nature of the initial conditions of the evolution equation solutions and study problems related to factorization scheme invariance. The possibility of measuring these distributions in LEP experiments is discussed, and a comparison with existing data is made.

## 1 Introduction

The fragmentation of quarks and gluons into photons can be observed in  $e^+e^-$  annihilation experiments and in the production of large- $p_\perp$  photons in hadronic collisions. This phenomenon is described by the distributions  $D_q^\gamma(z, M^2)$  and  $D_g^\gamma(z, M^2)$  where  $z$  is the fractional momentum carried away by the photon and  $M^2$  a time-like scale fixed by the hard process ( $M^2 \sim Q^2$  in  $e^+e^-$ -annihilation and  $M^2 \sim p_\perp^2$  for large- $p_\perp$  photons). Unlike the fragmentation into hadrons which are complex bound-states, the photon has a known pointlike coupling to the quark. Therefore we expect these distributions to be fully calculable in perturbative QCD. Witten was the first to show that this is indeed the case [1], at least for  $M^2$  large enough to neglect non-perturbative effects, and he wrote the Leading Logarithm (LL) expressions for  $D_a^\gamma(z, M^2)$  ( $a = q, g$ ). In practice, it turns out that we need to know the fragmentation functions in kinematical domains where  $M^2$  is not asymptotically large ( $M^2 \sim p_\perp^2 \sim 25 \text{ GeV}^2$  in fixed-target direct-photon experiments). These non-perturbative contributions and Beyond Leading Logarithm corrections (BLL) to Witten's LL results are sizeable. It is the purpose of this paper to present a careful analysis of these effects and a new parametrization of  $D_q^\gamma(z, M^2)$  and  $D_g^\gamma(z, M^2)$ .

New experimental results justify the updating of an analysis published some years ago [2]. Since then the LEP collaborations studied in detail the quark fragmentation into isolated hard photons; the inclusive fragmentation functions  $D_q^\gamma(z, M^2)$  should also be measurable [3]. On the other hand new precise data on direct photon production at large  $p_\perp$  has been [4], or will [5] be presented soon, requiring more precise theoretical inputs. Finally it is now

possible to better constrain the non-perturbative part of the fragmentation functions which is obtained by means of the Vector Meson Dominance Model (VDM). Indeed, new data on the inclusive  $\rho$  production at LEP [6, 7] allow a better control of this contribution.

The study of the fragmentation functions follows the theoretical approach developed in the analysis of the crossed reaction, namely the Deep Inelastic Scattering of a virtual photon on a real photon, which probes the parton contents of a real photon [8]. The good agreement between theory and data obtained in this channel lets us hope to derive sound predictions for the  $D_a^\gamma(z, M^2)$  distribution. Here we study only the inclusive fragmentation functions, without any isolation condition around the photon. The isolated case [9] raises theoretical problems concerning the Infra-Red stability of the prediction [10, 11] that we do not discuss in this paper.

## 2 Theoretical background

The fragmentation functions  $D_a^\gamma(z, M^2)$  verify the inhomogeneous DGLAP (Dokshitzer, Gribov, Lipatov, Altarisi, Parisi) equations [12, 13] (the convolution  $f \otimes g(z)$  is defined by  $\int_0^1 du dv f(u) g(v) \delta(uv - z)$ )

$$M^2 \frac{\partial D_{ns,i}^\gamma}{\partial M^2} = C_{ns,i} K_{\gamma q} + P_{ns} \otimes D_{ns,i}^\gamma \quad (1)$$

for the non-singlet sector ( $C_{ns,i} = 2(e_i^2 - \langle e_i^2 \rangle)$ ), and

$$\begin{aligned} M^2 \frac{\partial D_q^\gamma}{\partial M^2} &= C_s K_{\gamma q} + P_{qq} \otimes D_q^\gamma + P_{gq} \otimes D_g^\gamma \\ M^2 \frac{\partial D_g^\gamma}{\partial M^2} &= C_s K_{\gamma g} + P_{qg} \otimes D_q^\gamma + P_{gg} \otimes D_g^\gamma \end{aligned} \quad (2)$$

for the singlet sector ( $C_s = 2N_f \langle e_i^2 \rangle$ ), with  $D_q^\gamma = \sum_{i=1}^{N_f} (D_{q_i}^\gamma + D_{\bar{q}_i}^\gamma)$  and  $D_{ns,i}^\gamma = (D_{q_i}^\gamma + D_{\bar{q}_i}^\gamma) - D_q^\gamma/N_f$ . The

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inhomogeneous kernels have a perturbative expansion

$$K_{\gamma a}(z, M^2) = \frac{\alpha}{2\pi} \left( K_{\gamma a}^{(0)}(z) + \frac{\alpha_s}{2\pi}(M^2) K_{\gamma a}^{(1)}(z) + \dots \right) \quad (3)$$

as do the homogeneous kernels  $P_{ab}$ . The kernels  $K_{\gamma a}$  are given in [2], and the homogeneous ones can be obtained from [14]. Let us notice that the coupling of the gluon to the photon can only take place through a quark loop ; therefore the expansion (3) of  $K_{\gamma g}$  starts at order  $O(\alpha_s)$ .

In the moment space ( $f(n) = \int_0^1 dz z^{n-1} f(z)$ ), (1) and (2) can easily be solved [15, 16, 17]. For instance for the non-singlet distribution we obtain

$$D^{\gamma, AN}(n, M^2) = C \int_{\alpha_s(M_0^2)}^{\alpha_s(M^2)} \frac{d\lambda}{\beta(\lambda)} K_{\gamma q}(n) \times e^{\int_{\lambda}^{\alpha_s(M^2)} \frac{d\lambda'}{\beta(\lambda')} P(n)} \quad (4)$$

where we have dropped the suffixes  $ns$  and  $i$ . The suffix  $AN$  means anomalous, a qualifier given by Witten to the solutions of (1),(2) in order to characterize their asymptotic behaviours. Indeed, with the definitions  $r = \alpha_s(M^2)/\alpha_s(M_0^2)$  and  $d_n = 2P^{(0)}(n)/\beta_0$ , the solution (4) can be written, in the LL approximation,

$$D^{\gamma, AN}(n, M^2) = \frac{4\pi}{\alpha_s(M^2)} \frac{\alpha}{2\pi} \frac{C}{\beta_0} \frac{K_{\gamma q}^{(0)}(n)}{1 - d_n} \times (1 - r^{1-d_n}) \quad (5)$$

an expression which explicitly displays an asymptotic behavior proportional to  $\ln \frac{M^2}{\Lambda^2}$ . In (5) we kept only the lowest order term of the  $\beta$ -function:

$$M^2 \partial \alpha_s / \partial M^2 = \beta(\alpha_s) = -\alpha_s (\beta_0 \alpha_s / 4\pi + \beta_1 (\alpha_s / 4\pi)^2 + \dots).$$

Expression (4) is not the full solution of the inhomogeneous equation (1) ; we can add to (4) a general solution of the homogeneous equation (1) with  $K_{q\gamma} = 0$ , so that the full solution is

$$D^\gamma(n, M^2) = D^{\gamma, AN}(n, M^2) + D^{\gamma, NP}(n, M^2). \quad (6)$$

The physical interpretation of expressions (4) and (6) is the following:  $D^\gamma(n, M^2)$  is given by the sum of a perturbative component  $D^{\gamma, AN}$  and of a non-perturbative component  $D^{\gamma, NP}$ .  $D^{\gamma, AN}$  is fully calculable in perturbative QCD, as long as  $M^2$  is large enough,  $M^2 > M_0^2$  where  $M_0^2$  is the boundary between the perturbative and non-perturbative domain. For  $M^2 = M_0^2$ , the perturbative approach is no longer valid and  $D^\gamma$  is given by a non-perturbative fragmentation function  $D^{\gamma, NP}$ , which verifies for  $M^2 > M_0^2$  the homogeneous DGLAP equations.

The non-perturbative input  $D^{\gamma, NP}(n, M_0^2)$  is not known. We modelize it following VDM and we assume (for  $M^2 \leq M_0^2$ ) that quarks and gluons first fragment into vector mesons which then turn into photons. Therefore we could write

$$D^{\gamma, NP}(n, M_0^2) = \alpha \sum_{v=\rho, \omega, \phi} C_v D^v(n, M_0^2) \quad (7)$$

where the fragmentation functions  $D^v$  may be measured in  $e^+e^-$ -annihilation experiments. The coefficients  $C_v$  are fixed by VDM. The value of  $M_0^2$  is not known, but should be of the order of an hadronic mass and we take  $M_0^2 \simeq m_\rho^2 \simeq 0.5 \text{ GeV}^2$ . The same approach in the crossed channel  $\gamma\gamma^* \rightarrow X$  leads to predictions in good agreement with data [8].

However the approach just described is too naive as it is based on a LL approximation. At BLL order, the decomposition (6) is not factorization scheme invariant, and our VDM assumption (7) must be refined. Let us study this problem which is related to BLL corrections to the LL expression (5).

### 3 Non-perturbative input and factorization scheme

We consider the one-photon inclusive cross-section in  $e^+e^-$ -annihilation. It is given by the convolution between the hard sub-process cross-sections  $C_a(z)$  and the parton fragmentation functions [18]

$$\frac{1}{\sigma_0} \frac{d\sigma^\gamma}{dz} = \sum_{i=1}^{N_f} e_i^2 C_q \otimes (D_{q_i}^\gamma(Q^2) + D_{\bar{q}_i}^\gamma(Q^2)) + 2 \sum_{i=1}^{N_f} e_i^2 C_g \otimes D_g^\gamma(Q^2) + 2 \sum_{i=1}^{N_f} e_i^4 C^\gamma \quad (8)$$

where  $\sigma_0 = 4\pi\alpha^2/Q^2$ . The hard cross-sections  $C_g(z)$  and  $C_q(z)$ , which have expansions in powers of  $\alpha_s(Q^2)$

$$C_a = \delta_{a,q} + \frac{\alpha_s}{2\pi}(Q^2) C_a^{(1)}(z) + \dots \quad (9)$$

also appear in the one-hadron inclusive cross-sections and have been calculated in [19] (in (8) we consider the sum of the transverse and longitudinal cross-sections).  $C^\gamma(z)$  is characteristic of reactions involving photons and describes the direct coupling of the photon to quarks in  $e^+e^-$ -annihilation. Its expression in the  $\overline{MS}$  Factorization Scheme (FS) can be obtained from [19]:

$$C^\gamma = \frac{\alpha}{2\pi} \frac{1 + (1-z)^2}{z} (\ln(1-z) + 2 \ln z). \quad (10)$$

Actually it is well known that the fragmentation functions and hard cross-sections are not univocally defined. For instance, a part of  $C^\gamma$  can be absorbed in the fragmentation functions, leading to a new photonic FS to which correspond new functions  $\tilde{D}_a$  and  $\tilde{C}^\gamma$ . Each term on the rhs of (8) is therefore FS dependent, but the sum is not, being a physical quantity. It is easy to verify that this implies that  $D^{\gamma, NP}$  in expression (6) is not FS invariant. Such ambiguities appear also in the definition of coefficients  $C_q$  and  $C_g$  and kernels  $P_{ab}$ . The influence of this hadronic FS on the fragmentation functions was studied in [8]. In this article, we will focus only on the difficulties related to the photonic FS.

In order to grasp this point more clearly, we calculate (4) including BLL corrections. Expanding in powers of  $\alpha_s$ , we obtain for expression (6)

$$\begin{aligned}
D^\gamma(n, M^2) &= \frac{\alpha}{2\pi} \frac{C}{\beta_0} K_{\gamma q}^{(0)}(n) \left\{ \frac{4\pi}{\alpha_s(M^2)} \frac{1-r^{1-d_n}}{1-d_n} \right. \\
&+ 2 \left( \frac{\beta_1}{2\beta_0} d_n - \frac{2P^{(1)}(n)}{\beta_0} \right) \frac{1-r^{1-d_n}}{1-d_n} \\
&+ 2 \left( (1+d_n) \frac{\beta_1}{2\beta_0} - \frac{K_{\gamma q}^{(1)}(n)}{K_{\gamma q}^{(0)}(n)} - 2 \frac{P^{(1)}(n)}{\beta_0} \right) \\
&\left. \times \frac{1-r^{-d_n}}{d_n} \right\} + r^{-d_n} D^{\gamma, NP}(n, M_0^2). \quad (11)
\end{aligned}$$

By combining this result with (8) and keeping the relevant terms proportional to  $(1-r^{-d_n})$  and  $r^{-d_n}$ , we easily obtain (for the non-singlet contribution and writing again  $C_{ns,i}$  instead of  $C$ )

$$\begin{aligned}
\frac{1}{\sigma_0} \frac{d\sigma^\gamma}{dz}(n) &= \sum_i e_i^2 \left\{ C_{ns,i} \frac{\alpha}{2\pi} \frac{2}{\beta_0} \left( (1+d_n) \frac{\beta_1}{\beta_0} K_{\gamma q}^{(0)}(n) \right. \right. \\
&- \left. \left. K_{\gamma q}^{(1)}(n) - 2 \frac{K_{\gamma q}^{(0)}(n)}{\beta_0} P^{(1)}(n) \right) \frac{1-r^{-d_n}}{d_n} \right. \\
&+ \left. C_{ns,i} C^\gamma(n) + D_{ns,i}^{\gamma, NP}(n, M_0^2) r^{-d_n} \right\} + \dots \\
&= \sum_i e_i^2 \left\{ \left[ C_{ns,i} C^\gamma(n) d_n - C_{ns,i} \frac{\alpha}{2\pi} \frac{2}{\beta_0} K_{\gamma q}^{(1)}(n) \right] \right. \\
&\times \left. \frac{1-r^{-d_n}}{d_n} + \left[ C_{ns,i} C^\gamma(n) + D_{ns,i}^{\gamma, NP}(n, M_0^2) \right] r^{-d_n} \right. \\
&+ \left. \dots \right\} + \dots \quad (12)
\end{aligned}$$

Expression (12) explicitly shows [20] that the combinations  $C^\gamma(n)d_n - \frac{\alpha}{2\pi} \frac{2}{\beta_0} K_{\gamma q}^{(1)}(n)$  and  $C_{ns,i} C^\gamma(n) + D_{ns,i}^{\gamma, NP}(n)$  are FS invariant ; if we change the scheme, we must obtain

$$C_{ns,i} \tilde{C}^\gamma(n) + \tilde{D}_{ns,i}^{\gamma, NP}(n) = C_{ns,i} C^\gamma(n) + D_{ns,i}^{\gamma, NP}(n) \quad (13)$$

and we clearly see that the “non-perturbative” component cannot correspond to a VDM contribution alone, which should be FS invariant.

In [8], we discussed the structure of  $D^{\gamma, NP}$  (actually in the DIS channel) in detail and showed that it consists of two parts. One part includes all the non perturbative effects and is scheme independent. The other part depends on the scheme and can be perturbatively calculated. It corresponds to the collinear part of  $C_\gamma$ . In this paper we quote the result without proof, referring the interested reader to the original paper [8].

$$D_{ns,i}^{\gamma, NP}(z, M_0^2) = D_{ns,i}^{\gamma, VDM}(z, M_0^2) - C_{ns,i} D^{\gamma, \overline{MS}}(z) \quad (14)$$

where

$$\begin{aligned}
D^{\gamma, \overline{MS}}(z) &= \frac{\alpha}{2\pi} \left( \frac{1+(1-z)^2}{z} \right. \\
&\left. \times (\ln(1-z) + \ln(z)) + z \right). \quad (15)
\end{aligned}$$

Expression (14) is our initial condition at  $M^2 = M_0^2$ . A similar result can be obtained for the singlet sector with  $C_{ns,i}$  replaced by  $C_s$ . For the gluon fragmentation, we have  $D_g^{\gamma, NP}(z, M_0^2) = D_g^{\gamma, VDM}(z, M_0^2)$ .

The previous discussion is valid for light quarks. For massive quarks, we neglect the VDM component. For instance, we neglect the  $\psi$ -dominance contribution to the fragmentation of a charm quark into photons. But we still have a “non-perturbative” input. Indeed Nason and Webber [21] calculated the fragmentation of a heavy quark or anti-quark into a photon (actually a gluon in these calculations) with the result (dropping powers of  $m_Q/Q$ )

$$\begin{aligned}
\frac{1}{\sigma_0} \frac{d\sigma_Q^\gamma}{dz}(z) &= e_Q^4 \frac{\alpha}{2\pi} \frac{1+(1-z)^2}{z} \ln \frac{M^2}{m_Q^2} \\
&+ e_Q^4 C_Q^\gamma(z) \quad (16)
\end{aligned}$$

where the direct term  $C_Q^\gamma$ , calculated in the massive FS, is given by:

$$C_Q^\gamma(z) = C^\gamma(z) - \frac{\alpha}{2\pi} \frac{1+(1-z)^2}{z} (2 \ln z + 1). \quad (17)$$

$C^\gamma(z)$  is the direct term in the  $\overline{MS}$  scheme given by (10). By taking into account BLL corrections one obtains an expression similar to (12), but in which the kernels are calculated in the massive scheme and  $r$  is replaced by  $r_Q = \alpha_s(M^2)/\alpha_s(m_Q^2)$ . In particular, in the terms

$$\begin{aligned}
\frac{1}{2e_Q^4 \sigma_0} \frac{d\sigma^\gamma}{dz}(n) &= -\frac{\alpha}{2\pi} \frac{2}{\beta_0} K_{\gamma Q}^{(1)}(n) \frac{1-r_Q^{-d_n}}{d_n} \\
&+ C_Q^\gamma(n) + \dots \quad (18)
\end{aligned}$$

one recognizes the FS invariant combination

$$-\frac{\alpha}{2\pi} \frac{2}{\beta_0} \frac{K_{\gamma Q}^{(1)}(n)}{d_n} + C_Q^\gamma(n). \quad (19)$$

Expression (18) can be transformed into the  $\overline{MS}$  scheme we used in this paper:

$$\begin{aligned}
&-\frac{\alpha}{2\pi} \frac{2}{\beta_0} K_{\gamma Q}^{(1)}(n) \frac{1-r_Q^{-d_n}}{d_n} + C_Q^\gamma(n) = \\
&-\frac{\alpha}{2\pi} \frac{2}{\beta_0} \left( K_{\gamma Q}^{(1)}(n) + \delta K(n) \right) \left( \frac{1-r_Q^{-d_n}}{d_n} \right) \\
&+ \left( C_Q^\gamma(n) + \frac{\alpha}{2\pi} \frac{2}{\beta_0} \frac{\delta K(n)}{d_n} \right) \\
&-\frac{\alpha}{2\pi} \frac{2}{\beta_0} \frac{\delta K(n)}{d_n} r_Q^{-d_n} \quad (20)
\end{aligned}$$

with  $\overline{MS}$  expressions

$$K_{\gamma q}^{(1)} = K_{\gamma Q}^{(1)} + \delta K \quad (21)$$

and

$$C^\gamma = C_Q^\gamma + \frac{\alpha}{2\pi} \frac{2}{\beta_0} \frac{\delta K}{d_n} \quad (22)$$

From (21) and (22) we see that we can recover the massive result (20) by working in the  $\overline{MS}$  scheme, but with a non-zero input at  $M^2 = m_Q^2$  given by

$$D_Q^{\gamma, \overline{MS}}(z, m_Q^2) = -\frac{\alpha}{2\pi} e_Q^2 \frac{1 + (1-z)^2}{z} (2 \ln z + 1). \quad (23)$$

Let us end this section by comparing our present approach with previous BLL studies.

The authors of [17] use a different approach but obtain similar results for the ‘‘non perturbative’’ input. Invoking the ‘‘perturbative stability’’, they choose to work with a factorization scheme (called  $DIS_\gamma$ ) in which the direct term  $C_{DIS_\gamma}^\gamma(z)$  vanishes (more precisely the transverse direct term). Then they assume that the input at  $M^2 = M_0^2$  is given simply by the VDM contribution.

Using (13), we can translate this input in the  $\overline{MS}$  language. In the non-singlet case, we find

$$D_{ns,i}^{\gamma, NP} = \tilde{D}_{ns,i}^{\gamma, NP} - C_{ns,i} C_T^\gamma = D_{ns,i}^{\gamma, VDM} - C_{ns,i} C_T^\gamma \quad (24)$$

where  $\sim$  now means  $DIS_\gamma$  and with

$$C_T^\gamma = \frac{\alpha}{2\pi} \left[ \frac{1 + (1-z)^2}{z} (\ln(1-z) + 2 \ln z) - 2 \frac{1-z}{z} \right] \quad (25)$$

valid for any flavour. We see that this expression is fairly similar to the one we obtained, namely (15) and (23); it produces similar effects when  $z$  goes to zero or one.

The present approach differs from the BLL study of [2] in which the input  $D^{\gamma, \overline{MS}}(z, M_0^2)$  is equal to zero. This leads to a different behavior of  $D_g^\gamma(z, M^2)$  at small values of  $z$  that we discuss in the next section.

## 4 Numerical studies of the anomalous component

In this section, we perform a numerical study of the anomalous fragmentation function. Later we shall add the VDM contribution in order to obtain the complete fragmentation functions. We solve the DGLAP equation in which the kernels are massless and take into account the effect of the mass of heavy quarks by using thresholds at  $\mu^2 = m_c^2$  and  $\mu^2 = m_b^2$  with  $D_c^\gamma(\mu^2 < m_c^2) = 0$  and  $D_b^\gamma(\mu^2 < m_b^2) = 0$ . Then, the input (23) is introduced at  $m_c$  and  $m_b$ . We use the following values:  $\Lambda_{QCD}^{(4)} = 230 \text{ MeV}$ ,  $m_{charm} = 1.5 \text{ GeV}$  and  $m_{bottom} = 4.5 \text{ GeV}$ .

In Fig. 1 we display the anomalous fragmentation functions obtained with  $M_0^2 = 0.5 \text{ GeV}^2$  and the input  $D^{\gamma, \overline{MS}}(z, M_0^2)$ , whereas in Fig. 2 we show the results obtained with the boundary condition  $D^{\gamma, AN}(z, M_0^2) = 0$

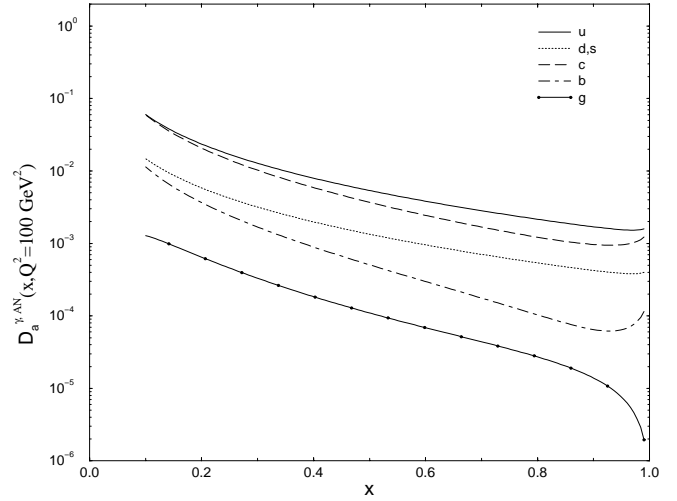


Fig. 1. Anomalous component with  $D^{\gamma, AN}(z, M_0^2 = 0.5 \text{ GeV}^2) = D^{\gamma, \overline{MS}}(z)$

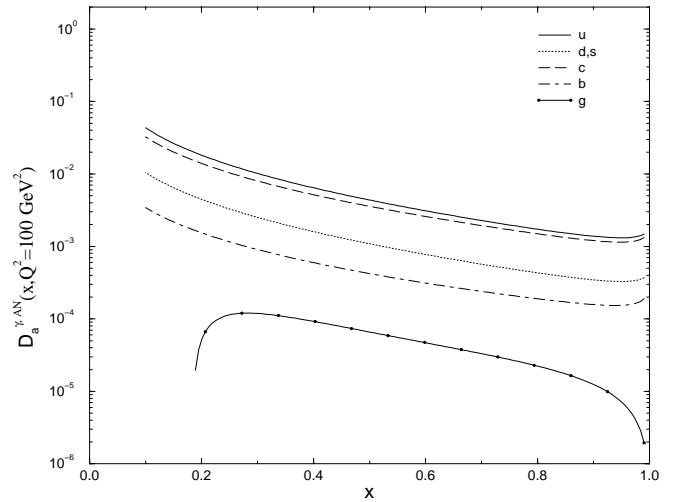
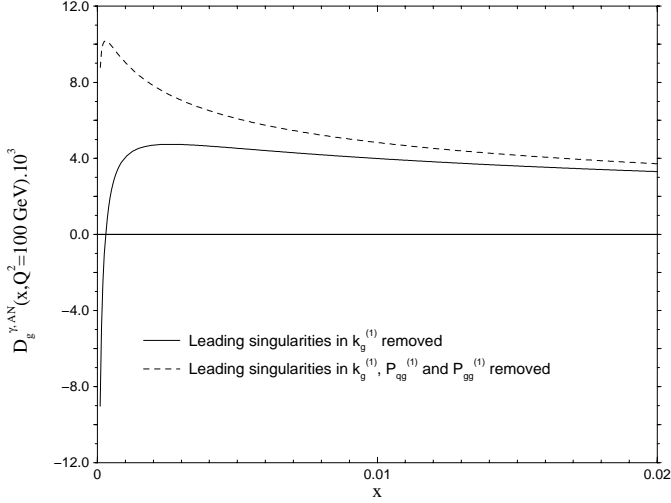


Fig. 2. Anomalous component with  $D^{\gamma, AN}(z, M_0^2 = 0.5 \text{ GeV}^2) = 0$

( $D^{\gamma, \overline{MS}} = 0$ ). The effects of  $D^{\gamma, \overline{MS}}$  are important at small values of  $z$ , especially in the gluon case. In both figures, the gluon fragmentation function is negative at small  $z$ . But the  $z$ -range in which the  $D_g^{\gamma, AN}$  is positive is larger with the  $D^{\gamma, \overline{MS}}$  input.

This small- $z$  behavior of  $D_g^\gamma(z, M^2)$  is due to BLL corrections to the LL solution which does not show such a pattern. The BLL kernels have a singular behavior at small  $z$ :

$$\begin{aligned} K_{\gamma g}^{(1)}(z) &\sim \frac{T_R}{2} \left( \frac{16}{3} \frac{\ln z}{z} \right) \\ P_{gq}^{(1)}(z) &\sim \left\{ 2N_f C_F C_G \left( -4 \frac{\ln^2 z}{z} \right) + O\left(\frac{\ln z}{z}\right) \right\} \\ P_{gg}^{(1)}(z) &\sim \left\{ C_G^2 \left( -4 \frac{\ln^2 z}{z} \right) + O\left(\frac{\ln z}{z}\right) \right\}. \end{aligned} \quad (26)$$



**Fig. 3.** Comparison of the anomalous gluon fragmentation functions with a null input at  $Q_0^2 = 0.5 \text{ GeV}^2$  and various singularities removed from kernels

The effect of the BLL inhomogeneous kernel  $K_{\gamma g}^{(1)}$  is particularly important, because the Leading Order term vanishes ( $K_{\gamma g}^{(0)} = 0$ ). If we drop the most singular term (26) of  $K_{\gamma g}^{(1)}(z)$ , we obtain a gluon fragmentation function which becomes negative only at very small values of  $z$  ( $z < 3.10^{-4}$ ) (Fig. 3) where the effect of the homogeneous kernels is important. When the singular behavior of  $P_{gg}^{(1)}$  and  $P_{gq}^{(1)}$  are also removed, the fragmentation function is positive.

The  $z$ -domain in which the singular parts of the kernels are important has not been explored by experiment. At LEP, we have  $z \gtrsim .7$  and in large- $p_\perp$  experiments  $< z > \simeq .5$ , far from the region where  $D_g^\gamma(z, M^2)$  is negative. Therefore it is not necessary to treat this small- $z$  region more carefully by resumming to all orders the singular terms (26).

When  $z \rightarrow 1$ , the kernels are also singular and the quark fragmentation functions are dominated by the BLL inhomogeneous contribution

$$\begin{aligned} D_q^\gamma(n, M^2) &\sim -\frac{\alpha}{2\pi} e_q^2 \frac{K_{\gamma q}^{(1)}(n)}{P^{(0)}(n)} \\ &\sim_{n \rightarrow \infty} \frac{\alpha}{2\pi} e_q^2 \frac{C_F \ln^2 n/n}{2C_F \ln n} \\ &= \frac{\alpha}{4\pi} e_q^2 \frac{\ln n}{n} \end{aligned} \quad (27)$$

showing that

$$D_q^\gamma(z, M^2) \sim \frac{\alpha}{2\pi} \ln \frac{1}{1-z}. \quad (28)$$

In the cross section (8) this logarithmic term is cancelled by contribution coming from  $C_q^{(1)}$  and  $C^\gamma$ ; as a result, the cross-section is regular when  $z \rightarrow 1$ .

## 5 Vector dominance model and non-perturbative input

In the Vector Dominance Model, the photon is described by a superposition of vector mesons (we neglect the  $J/\psi$  contribution)

$$\begin{aligned} \gamma &= \frac{g}{\sqrt{2}} \left( \rho + \frac{\omega}{3} - \frac{\sqrt{2}}{3} \phi \right) \\ &= g \left( \frac{2}{3} (u\bar{u}) - \frac{1}{3} (d\bar{d}) - \frac{1}{3} (s\bar{s}) \right) \end{aligned} \quad (29)$$

where  $g^2 \simeq \alpha$ . In  $e^+e^-$ -annihilation, the final quark (or antiquark) first fragments into a vector meson (or a  $(q\bar{q})$  state of spin 1) which is coupled to a photon through (29). From (29) we obtain

$$D_q^{\gamma, VDM} = g^2 \left( \frac{4}{9} D_q^{(u\bar{u})} + \frac{1}{9} D_q^{(d\bar{d})} + \frac{1}{9} D_q^{(s\bar{s})} \right) \quad (30)$$

where  $D_q^{(n\bar{n})}$  is the fragmentation function of quark  $q$  into the  $(n\bar{n})$  bound state. We assume that the fragmentation of the quark  $q$  into the  $n\bar{n}$  bound state is given by the fragmentation into a  $\rho$ -meson:

$$\begin{aligned} D_q^{(q\bar{q})} &= 2D_q^{\rho^0, v} + D_q^{\rho^0, s} \\ D_{q' \neq q}^{(q\bar{q})} &= D_{q'}^{\rho^0, s}. \end{aligned} \quad (31)$$

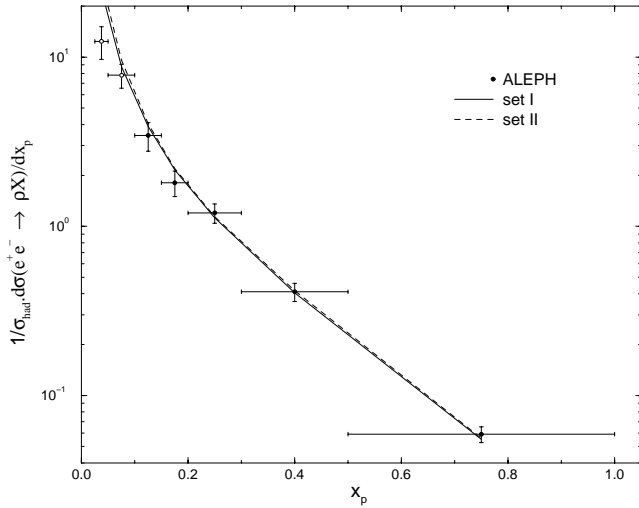
$D_q^{\rho^0, v}$  is the ‘‘valence’’ part for which the  $\rho^0$ -meson contains the quark  $q$  and  $D_q^{\rho^0, s}$  is the ‘‘sea’’ part for which the quark  $q$  does not enter the meson. The factor 2 comes from the SU(3) wave function of the  $\rho^0$ -meson. We can express the VDM fragmentation function (30) in terms of the quark and gluon fragmentation into  $\rho^0$ -meson.

We use data from ALEPH [7] and HRS [22] ( $\sqrt{s} = 29 \text{ GeV}$ ) in order to constrain  $D_q^\rho(z, M^2)$  and  $D_g^\rho(z, M^2)$ . We found that data from MARK II [23], TASSO [24] and DELPHI [6] are not compatible with those from ALEPH and HRS. Because HRS has the greatest statistics, we have chosen the latter. Since data from JADE [25] does not add constraints, they was not taken into account.

We use the following parametrization of the fragmentation functions at  $Q_0^2 = 2 \text{ GeV}^2$  for the gluon and the quarks up, down, strange and charm and at  $Q_0^2 = m_b^2 \text{ GeV}^2$  for the quark bottom:

$$\begin{aligned} D_u^{\rho, v}(x) &= D_d^{\rho, v}(x) = N_V x^{\alpha_V} (1-x)^{\beta_V} \\ D_u^{\rho, s}(x) &= D_d^{\rho, s}(x) = D_s^{\rho, s}(x) = N_S x^{\alpha_S} (1-x)^{\beta_S} \\ D_c^{\rho, s}(x) &= N_C x^{\alpha_C} (1-x)^{\beta_C} \\ D_b^{\rho, s}(x) &= N_b x^{\alpha_b} (1-x)^{\beta_b} \\ D_g^{\rho, s}(x) &= N_g x^{\alpha_g} (1-x)^{\beta_g} \end{aligned} \quad (32)$$

We reduced the number of free parameters in order to avoid too strong a correlation between them. We make the assumption that the behavior of the  $c$  and  $b$  quarks



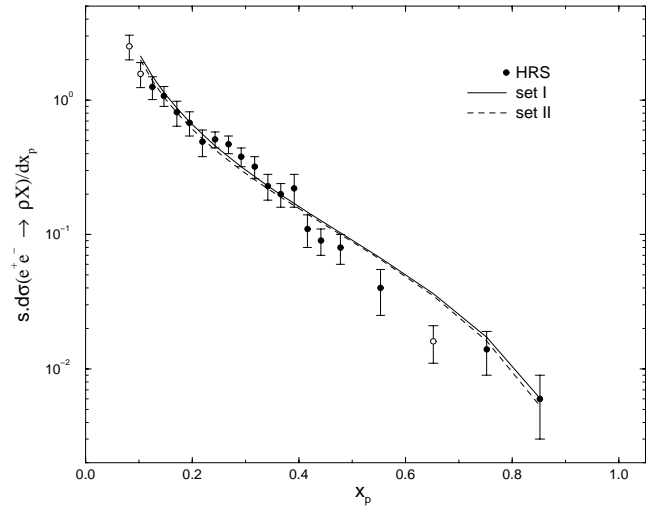
**Fig. 4.** Comparison between ALEPH data and predictions corresponding to set I and II. Black dots correspond to points used in the fits

is related as follows:  $\alpha_b = \alpha_c$  and  $\beta_b = \beta_c + 2$ . Furthermore, the exponents  $\alpha_a$  are fixed. First, we found that it is not possible to fit HRS and ALEPH data if we keep the HRS point at  $x = 0.652$ . For this reason, we made the fits without this point. Then, in a first fit, we fixed  $\beta_g$  (set I). When comparing the ratios  $D_g^{\pi^0}/D_u^{\pi^0}$  (we used results from [26]) and  $D_g^{\rho}/D_u^{\rho}$ , we noticed that the former is bigger by a factor of 3 to 10 (depending on the value of  $x$  and  $Q^2$ ) than the latter. Because the difference between non-perturbative mechanisms of fragmentation into  $\rho^0$  or  $\pi^0$  should be reduced in these ratios, they should be of the same order. Therefore our gluon fragmentation function which is not well constrained by our  $e^+e^-$  data is probably too small. Thus we performed a second fit for which we fixed  $N_g$  in order to obtain a ratio  $D_g^{\rho}/D_u^{\rho}$  of the order of the same ratio for pion (set II). The values of the parameters are shown in Table 1. We can see that the increase of  $N_g$  implies a decrease of the normalization for the heavy quarks. We plot on Figs. 4 and 5 the comparison between fitted data and computed cross sections. The  $\chi_{dof}^2$  is equal to 1.33 for set I and 1.22 for set II.

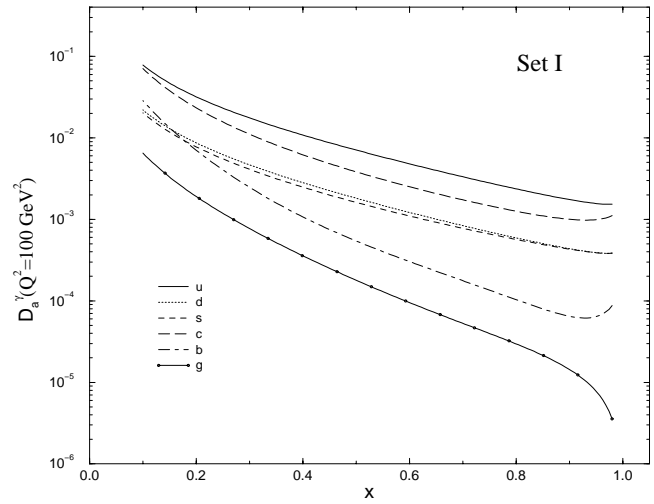
## 6 Full fragmentation functions and comparison with experiment

We obtain the complete fragmentation functions by adding the VDM contributions to the anomalous contributions. They are given in Fig. 6, 7 (for  $M^2 = 100 \text{ GeV}^2$ ) and Fig. 8, 9 (for  $M^2 = 10^4 \text{ GeV}^2$ ).

The results of Fig. 6 and 8 correspond to set I of parton into  $\rho$ -meson fragmentation functions discussed in the preceding section, whereas those of Fig. 7 and 9 correspond to set II. We notice a sizeable difference only for the gluon fragmentation functions; in this case the VDM contributions are very different. On the other hand the VDM contributions to the quark fragmentation functions



**Fig. 5.** Comparison between HRS data and predictions corresponding to set I and II. Black dots correspond to points used in the fits



**Fig. 6.** The fragmentation functions at  $Q^2 = 100 \text{ GeV}^2$

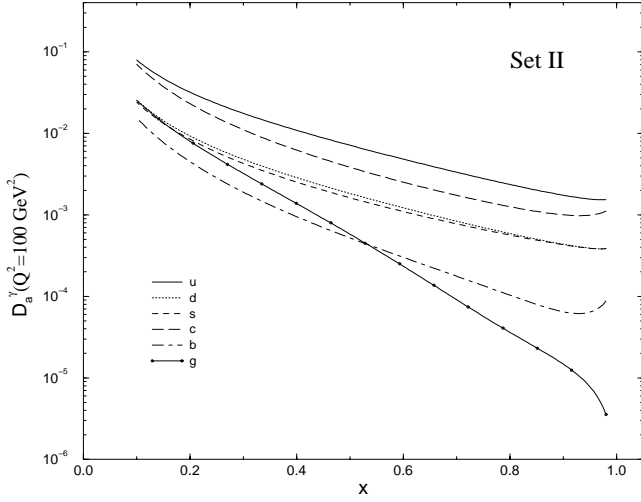
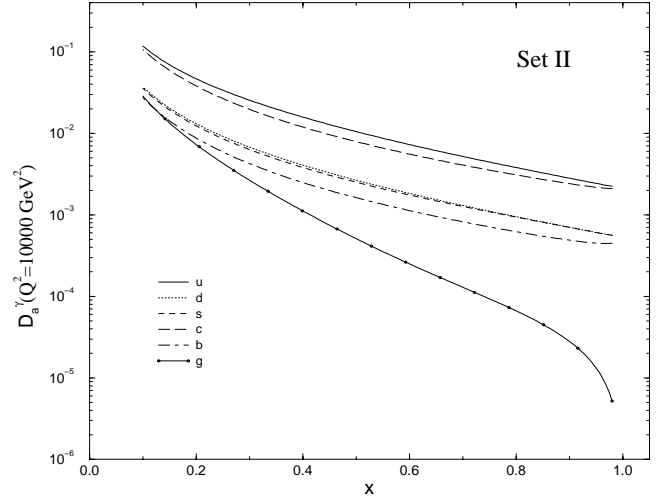
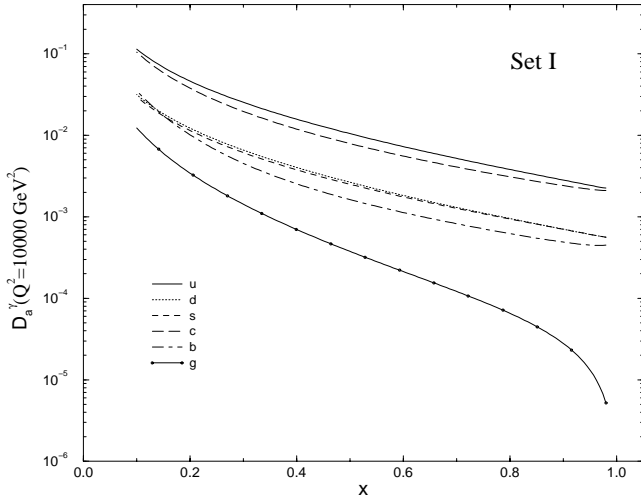
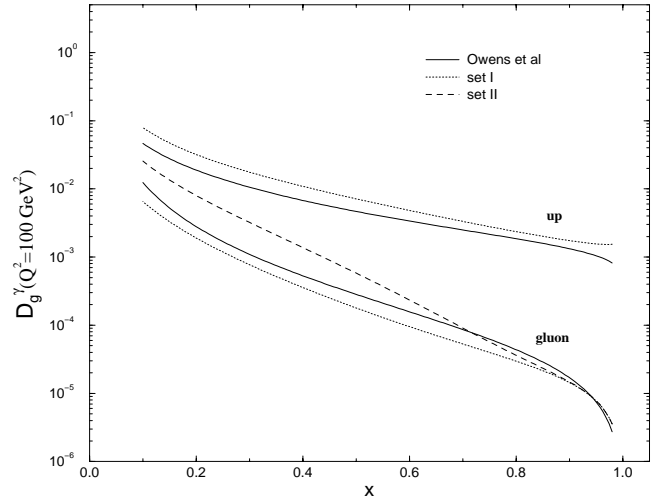
are small and the curves of Fig. 6 to 9 are very similar to the corresponding curves of Fig. 1. These distributions can be compared with those we obtained in [2] (Fig. 10 to 13). The latter are larger at small  $z$  and not too large  $Q^2$ , the difference being essentially due to a different VDM input. In [2], we assume that the fragmentation in  $\rho$ -meson is similar to the fragmentation in  $\pi^0$  and we use the distributions  $D_a^{\pi^0}$  of [7] as VDM input. In this paper, the input we obtained after a fit to data is much smaller.

In Fig. 10, we compare our results with the LL parametrization of Duke and Owens [12]. However one must keep in mind that BLL distribution functions are factorization scheme dependent, and that our distributions are calculated in the  $\overline{MS}$  scheme. A better comparison is provided by the cross section  $d\sigma^\gamma/dz$ , an invariant observable which can be compared to experiment.

At present, there is no data with which to compare. ALEPH data could seem to be a basis for comparison,

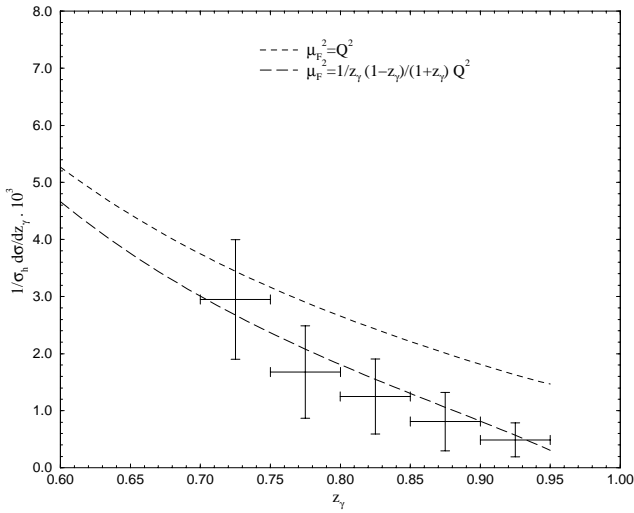
**Table 1.** Fitted parameters for the fragmentation functions to  $\rho$ . The exponents  $\alpha_a$  are fixed

	set I			set II		
	N	$\alpha$	$\beta$	N	$\alpha$	$\beta$
valence (u,d)	0.785	-0.5	1.499	1.140	-0.2	1.693
sea (u,d,s)	0.111	-1	2.912	0.100	-0.3	3.437
c	0.567	-1	5.502	0.132	-1	4.820
b	1.020	-1	7.502	0.103	-1	6.820
g	0.108	-1	3	2.550	-0.3	3

**Fig. 7.** The fragmentation functions at  $Q^2 = 100 \text{ GeV}^2$ **Fig. 9.** The fragmentation functions at  $Q^2 = 10000 \text{ GeV}^2$ **Fig. 8.** The fragmentation functions at  $Q^2 = 10000 \text{ GeV}^2$ **Fig. 10.** The fragmentation function for gluon and quark up of our sets of fragmentation functions compared with those of Duke-Owens at  $Q^2 = 100 \text{ GeV}^2$ . For the quark, set I and set II cannot be distinguished

but it is produced by an analysis in jets. Following [3], this Collaboration defines the fragmentation into a photon within a jet. The fragmentation function  $D_{jet}^\gamma$  defined in that way does not correspond to the functions calculated in this paper which are fully inclusive ; we do not put any limitation on the phase-space of the hadrons which accompany the photon.

In order to better understand the difference between ALEPH results and our predictions, let us consider the decay of a Z-boson (of momentum  $Q$ ) into a photon ( $p_1$ ), a quark ( $p_2$ ) and an anti-quark ( $p_3$ ). We define  $z_i = 2p_i \cdot Q / Q^2$  and  $y_{ij} = 2p_i \cdot p_j / Q^2$ , where  $z_1$  is the inclu-



**Fig. 11.** Comparison between ALEPH data and our predictions for the direct production of photon

sive photon fragmentation variable. We have  $1 - z_i = y_{jk}$  ( $i, j, k$  different) and  $\sum_{i < j} y_{ij} = 1$ . The variable used by ALEPH to describe the photon in the jet (here the jet is made of the photon and the quark) is  $z_\gamma = z_1/(z_1 + z_2) = z_1/(1 + y_{12})$ . However an integration is performed on  $y_{12}$  within the jet, so that the effective value  $z_1^{eff}$  at which one should compare our results is larger than  $z_\gamma$ . But if we assume that the largest contribution to the integral comes from the collinear region  $y_{12} \approx 0$ , we obtain  $z_1 \approx z_\gamma$ . Hereafter we use this assumption.

One must also notice that the ALEPH Collaboration uses the Durham algorithm [28] to define a jet. According to this algorithm,  $y_{12}^{max} = (1 - z_\gamma)/(1 + z_\gamma)$ ; therefore the scale in the fragmentation function is no longer  $Q^2$ , but  $(1 - z_\gamma)/(1 + z_\gamma)Q^2/z_\gamma$  (the extra  $1/z_\gamma$  comes from the fact that in the inclusive case  $y_{12}^{max} = z_1Q^2$  and this factor  $z_1 \approx z_\gamma$  is already included in our calculation).

Finally, one must keep in mind that higher order QCD corrections to the  $q\bar{q}\gamma$  process can generate logarithms of the jets parameter (e.g.  $y_{cut}$ ) coming from the limited phase space integration, which are not present in the fully inclusive case. However for  $z_\gamma$  large enough,  $y_{cut}$  does no longer constrain the phase space and the comparison between our predictions and ALEPH results is not spoiled by this effect.

This comparison is shown in Fig. 11 for 2-jets events and  $y_{cut} = 0.1$ . We display our predictions for two scales in order to exhibit their sensitivity to the latter. The agreement is quite satisfactory. It is interesting to notice that, in this  $z_\gamma$ -region, one essentially tests the anomalous component of the fragmentation functions; once  $Q_0^2$  is chosen, these parts are a pure prediction of the perturbative QCD.  $Q_0^2$ , which is of the order of  $m_p^2$ , characterizes the border between the perturbative and non perturbative regions (cf. (6)).

## 7 Conclusions

We have studied the parton to photon fragmentation functions beyond the leading order. We recalled that the traditional decomposition of this functions in non-perturbative and anomalous parts depends on the photonic factorization scheme. Performing a careful analysis of this dependence, we propose a new definition of the perturbative and non perturbative components. In this approach, all the scheme dependence is put in the perturbative part. By using a VDM approach, we constrain the non perturbative component of the fragmentation function that we deduced from parton to rho fragmentation functions. The latter was obtained from a fit to LEP and PEP data. Finally, we propose two new sets of parton to photon fragmentation functions<sup>1</sup>. We used them to give new predictions for the production of direct  $\gamma$  at LEP that agree well with experimental data. However, these data obtained by an analysis in jets are not fully inclusive and they do not exactly coincide with our inclusive fragmentation functions. Therefore, fully inclusive data for direct photon production would be very interesting, as they would allow to test a beautiful prediction of perturbative QCD.

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<sup>1</sup> FORTRAN subroutines which compute these fragmentation functions are available on request by e-mail to fontanna@qcd.th.u-psud.fr



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